

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

EBG
1ST GLOBAL CAPITAL CORPORATION
PO BOX 660939
DALLAS TX 75360-0939

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	80.21%
Limit orders as percentage of total non-directed orders	13.98%
Other orders as percentage of total non-directed orders	5.81%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Automated Trading Desk Financial Services, L.L.C.	32.09%
2. Knight Capital Markets, L.L.C.	31.01%
3. Citadel Derivatives Group LLC	14.40%
4. National Financial Services, L.L.C.	10.08%
5. UBS Securities, L.L.C.	9.03%
6. Citigroup Global Markets, Inc.	2.75%
7. Direct Edge ECN L.L.C.	0.14%
8. AlterNet Securities Inc.	0.11%
9. BATS Trading, Inc.	0.11%
10. Goldman, Sachs & Co.	0.10%

Information Concerning Significant Venues :

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.82%
Limit orders as percentage of total limit orders	35.29%
Other orders as percentage of total other orders	41.98%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.18%
Limit orders as percentage of total limit orders	28.63%
Other orders as percentage of total other orders	48.11%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	14.20%
	Limit orders as percentage of total limit orders	20.59%
	Other orders as percentage of total other orders	2.36%
4. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	12.03%
	Limit orders as percentage of total limit orders	3.14%
	Other orders as percentage of total other orders	0.00%
5. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	9.00%
	Limit orders as percentage of total limit orders	9.80%
	Other orders as percentage of total other orders	7.55%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.23%
	Limit orders as percentage of total limit orders	1.18%
	Other orders as percentage of total other orders	0.00%
7. Direct Edge ECN L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	0.98%
	Other orders as percentage of total other orders	0.00%
8. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.14%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. BATS Trading, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.07%
	Limit orders as percentage of total limit orders	0.39%
	Other orders as percentage of total other orders	0.00%
10. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.12%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	84.48%
Limit orders as percentage of total non-directed orders	12.36%
Other orders as percentage of total non-directed orders	3.16%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	40.01%
2. Citadel Derivatives Group LLC	24.19%
3. UBS Securities, L.L.C.	15.18%
4. Automated Trading Desk Financial Services, L.L.C.	11.91%
5. National Financial Services, L.L.C.	4.99%
6. Citigroup Global Markets, Inc.	3.35%
7. Direct Edge ECN L.L.C.	0.19%
8. AlterNet Securities Inc.	0.11%
9. BATS Trading, Inc.	0.04%
10. Pershing, L.L.C.	0.04%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.09%
Limit orders as percentage of total limit orders	36.45%
Other orders as percentage of total other orders	51.76%

2. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.89%
Limit orders as percentage of total limit orders	25.30%
Other orders as percentage of total other orders	1.18%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.66%
Limit orders as percentage of total limit orders	21.08%
Other orders as percentage of total other orders	32.94%

4. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.76%
Limit orders as percentage of total limit orders	12.35%
Other orders as percentage of total other orders	14.12%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.51%
Limit orders as percentage of total limit orders	2.71%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.88%
Limit orders as percentage of total limit orders	0.60%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.51%
Other orders as percentage of total other orders	0.00%

8. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Pershing, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.23%
Limit orders as percentage of total non-directed orders	6.12%
Other orders as percentage of total non-directed orders	2.64%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	34.95%
2. UBS Securities, L.L.C.	31.07%
3. Citadel Derivatives Group LLC	16.67%
4. Automated Trading Desk Financial Services, L.L.C.	12.91%
5. National Financial Services, L.L.C.	4.16%
6. New York Stock Exchange	0.14%
7. Domestic Securities Inc.	0.05%
8. Citigroup Global Markets, Inc.	0.03%
9. NYSE Arca	0.01%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.44%
Limit orders as percentage of total limit orders	54.51%
Other orders as percentage of total other orders	41.75%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.89%
Limit orders as percentage of total limit orders	11.32%
Other orders as percentage of total other orders	14.08%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.30%
Limit orders as percentage of total limit orders	9.85%
Other orders as percentage of total other orders	10.68%

4. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.63%
Limit orders as percentage of total limit orders	23.06%
Other orders as percentage of total other orders	33.50%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.50%
Limit orders as percentage of total limit orders	0.84%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.15%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.04%
Limit orders as percentage of total limit orders	0.21%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.21%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	31.05%
Limit orders as percentage of total non-directed orders	67.62%
Other orders as percentage of total non-directed orders	1.33%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	29.52%
2. The International Securities Exchange	24.76%
3. NASDAQ OMX PHLX	19.81%
4. NYSE Arca Options	14.86%
5. NYSE Amex Options	4.95%
6. The Boston Option Exchange	3.24%
7. Nasdaq Execution Services, L.L.C.	1.71%
8. Other	0.76%
9. National Financial Services, L.L.C.	0.38%

Information Concerning Significant Venues :

1. The Chicago Board Options Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	27.61%
Limit orders as percentage of total limit orders	30.70%
Other orders as percentage of total other orders	14.29%
2. The International Securities Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	26.99%
Limit orders as percentage of total limit orders	23.66%
Other orders as percentage of total other orders	28.57%
3. NASDAQ OMX PHLX	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	12.27%
Limit orders as percentage of total limit orders	23.38%
Other orders as percentage of total other orders	14.29%
4. NYSE Arca Options	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	16.56%
Limit orders as percentage of total limit orders	14.37%
Other orders as percentage of total other orders	0.00%
5. NYSE Amex Options	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	6.75%
Limit orders as percentage of total limit orders	4.23%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.91%
Limit orders as percentage of total limit orders	2.54%
Other orders as percentage of total other orders	0.00%

7. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.07%
Limit orders as percentage of total limit orders	0.85%
Other orders as percentage of total other orders	14.29%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.84%
Limit orders as percentage of total limit orders	0.28%
Other orders as percentage of total other orders	0.00%

9. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	28.57%