

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including but not limited to any internalization or payment for order flow arrangements.**

## **EBG**

**1ST GLOBAL CAPITAL CORP.  
P.O. BOX 743248  
DALLAS, TX 75374**

### **SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2017**

#### **Securities Listed on New York Stock Exchange**

##### **Summary Statistics**

Nondirected orders as percentage of total customer orders	100%
Market orders as percentage of total market orders	95.26%
Limit orders as percentage of total limit orders	3.85%
Other orders as percentage of total other orders	0.89%

##### **Venues Receiving Significant Percentage of Total Nondirected Orders**

1. KCG Americas LLC	41.47%
2. Citadel Securities LLC	41.09%
3. G1 Execution Services, LLC	4.01%
4. Two Sigma Securities, LLC	3.4%
5. BATS BYX Exchange	2.88%
6. Instinet LLC	2.06%
7. National Financial Services LLC	1.21%
8. UBS Securities, LLC	1.12%
9. BATS BZX Exchange	0.99%
10. EDGX Exchange, Inc.	0.81%

##### **Information concerning Significant Venues**

1. KCG Americas LLC

###### **Types of Orders Routed to Venue**

Market orders as percentage of total market orders	42.83%
Limit orders as percentage of total limit orders	17.44%
Other orders as percentage of total other orders	0%

2. Citadel Securities LLC

###### **Types of Orders Routed to Venue**

Market orders as percentage of total market orders	41.58%
Limit orders as percentage of total limit orders	17.17%
Other orders as percentage of total other orders	91.76%

3.	G1 Execution Services, LLC		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		4.11%
	Limit orders as percentage of total limit orders		2.45%
	Other orders as percentage of total other orders		0%
4.	Two Sigma Securities, LLC		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		3.49%
	Limit orders as percentage of total limit orders		1.91%
	Other orders as percentage of total other orders		0%
5.	BATS BYX Exchange		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		3%
	Limit orders as percentage of total limit orders		0.54%
	Other orders as percentage of total other orders		0%
6.	Instinet LLC		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		2.15%
	Limit orders as percentage of total limit orders		0.27%
	Other orders as percentage of total other orders		0%
7.	National Financial Services LLC		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		1.26%
	Limit orders as percentage of total limit orders		0.27%
	Other orders as percentage of total other orders		0%
8.	UBS Securities, LLC		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		1.04%
	Limit orders as percentage of total limit orders		1.63%
	Other orders as percentage of total other orders		8.24%
9.	BATS BZX Exchange		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		0%
	Limit orders as percentage of total limit orders		25.61%
	Other orders as percentage of total other orders		0%
10.	EDGX Exchange, Inc.		
	<b>Types of Orders Routed to Venue</b>		
	Market orders as percentage of total market orders		0%
	Limit orders as percentage of total limit orders		20.98%
	Other orders as percentage of total other orders		0%

## Securities Listed on the Nasdaq Stock Market

### Summary Statistics

Nondirected orders as percentage of total customer orders	100%
Market orders as percentage of total market orders	94.62%
Limit orders as percentage of total limit orders	4.3%
Other orders as percentage of total other orders	1.08%

### Venues Receiving Significant Percentage of Total Nondirected Orders

1. Citadel Securities LLC	43.02%
2. KCG Americas LLC	41.99%
3. G1 Execution Services, LLC	4.23%
4. Two Sigma Securities, LLC	3.43%
5. BATS BYX Exchange	2.27%
6. UBS Securities, LLC	0.91%
7. BATS BZX Exchange	0.89%
8. EDGX Exchange, Inc.	0.84%
9. Instinet LLC	0.78%
10. NASDAQ Execution Services, LLC	0.56%

### Information concerning Significant Venues

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	43.99%
Limit orders as percentage of total limit orders	21.61%
Other orders as percentage of total other orders	44%

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	42.91%
Limit orders as percentage of total limit orders	19.6%
Other orders as percentage of total other orders	50%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	4.36%
Limit orders as percentage of total limit orders	2.51%
Other orders as percentage of total other orders	0%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	3.58%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	0%

5. BATS BYX Exchange

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	2.37%
Limit orders as percentage of total limit orders	0.5%
Other orders as percentage of total other orders	0%

6. UBS Securities, LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.91%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	0%

7. BATS BZX Exchange

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0%
Limit orders as percentage of total limit orders	20.1%
Other orders as percentage of total other orders	2%

8. EDGX Exchange, Inc.

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0%
Limit orders as percentage of total limit orders	19.6%
Other orders as percentage of total other orders	0%

9. Instinet LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.8%
Limit orders as percentage of total limit orders	0.5%
Other orders as percentage of total other orders	0%

10. NASDAQ Execution Services, LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0%
Limit orders as percentage of total limit orders	13.07%
Other orders as percentage of total other orders	0%

## Securities Listed on NYSE MKT or Regional Exchanges

### Summary Statistics

Non-directed orders as percentage of total customer orders	100%
Market orders as percentage of total market orders	97.8%
Limit orders as percentage of total limit orders	1.7%
Other orders as percentage of total other orders	0.5%

### Venues Receiving Significant Percentage of Total Nondirected Orders

1. Citadel Securities LLC	43.29%
2. KCG Americas LLC	39.69%
3. G1 Execution Services, LLC	5.36%
4. BATS BYX Exchange	3.6%
5. Two Sigma Securities, LLC	3.38%
6. Instinet LLC	1.9%
7. UBS Securities, LLC	0.95%
8. BNY Mellon Capital Markets LLC	0.55%
9. National Financial Services LLC	0.36%
10. EDGX Exchange, Inc.	0.35%

### Information concerning Significant Venues

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	43.44%
Limit orders as percentage of total limit orders	20.78%
Other orders as percentage of total other orders	91.11%

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	40.23%
Limit orders as percentage of total limit orders	20.13%
Other orders as percentage of total other orders	0%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	5.42%
Limit orders as percentage of total limit orders	3.9%
Other orders as percentage of total other orders	0%

#### 4. BATS BYX Exchange

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	3.69%
Limit orders as percentage of total limit orders	0%
Other orders as percentage of total other orders	0%

5. Two Sigma Securities, LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	3.44%
Limit orders as percentage of total limit orders	1.3%
Other orders as percentage of total other orders	0%

6. Instinet LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	1.94%
Limit orders as percentage of total limit orders	0%
Other orders as percentage of total other orders	0%

7. UBS Securities, LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.93%
Limit orders as percentage of total limit orders	1.95%
Other orders as percentage of total other orders	2.22%

8. BNY Mellon Capital Markets LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.55%
Limit orders as percentage of total limit orders	0.65%
Other orders as percentage of total other orders	0%

9. National Financial Services LLC

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.36%
Limit orders as percentage of total limit orders	0%
Other orders as percentage of total other orders	2.22%

10. EDGX Exchange, Inc.

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0%
Limit orders as percentage of total limit orders	20.78%
Other orders as percentage of total other orders	0%

## Exchange — Listed Options

### Summary Statistics

Nondirected orders as percentage of total customer orders	100%
Market orders as percentage of total market orders	58.28%
Limit orders as percentage of total limit orders	41.72%
Other orders as percentage of total other orders	0%

### Venues Receiving Significant Percentage of Total Nondirected Orders

1. NASDAQ PHLX Options	35.78%
2. The Chicago Board Options Exchange	21.88%
3. The MIAX Options Exchange	10.31%
4. NASDAQ Options Market	9.38%
5. BOX Option Exchange	7.19%
6. NYSE Amex Options	4.22%
7. ISE Gemini Options Exchange	4.06%
8. BZX Options Exchange, Inc.	2.81%
9. NYSE Arca Options	1.41%
10. EDGX Option Exchange	1.41%

### Information concerning Significant Venues

#### 1. NASDAQ PHLX Options

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	43.97%
Limit orders as percentage of total limit orders	24.34%
Other orders as percentage of total other orders	0%

#### 2. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	25.47%
Limit orders as percentage of total limit orders	16.85%
Other orders as percentage of total other orders	0%

#### 3. The MIAX Options Exchange

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	10.99%
Limit orders as percentage of total limit orders	9.36%
Other orders as percentage of total other orders	0%

#### 4. NASDAQ Options Market

##### Types of Orders Routed to Venue

Market orders as percentage of total market orders	0.8%
Limit orders as percentage of total limit orders	21.35%
Other orders as percentage of total other orders	0%

5. BOX Option Exchange

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	8.31%
Limit orders as percentage of total limit orders	5.62%
Other orders as percentage of total other orders	0%

6. NYSE Amex Options

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	6.43%
Limit orders as percentage of total limit orders	1.12%
Other orders as percentage of total other orders	0%

7. ISE Gemini Options Exchange

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.27%
Limit orders as percentage of total limit orders	9.36%
Other orders as percentage of total other orders	0%

8. BZX Options Exchange, Inc.

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0%
Limit orders as percentage of total limit orders	6.74%
Other orders as percentage of total other orders	0%

9. NYSE Arca Options

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	0.54%
Limit orders as percentage of total limit orders	2.62%
Other orders as percentage of total other orders	0%

10. EDGX Option Exchange

**Types of Orders Routed to Venue**

Market orders as percentage of total market orders	1.88%
Limit orders as percentage of total limit orders	0.75%
Other orders as percentage of total other orders	0%